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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/963,966         | 09/26/2001          | Shuzo Sato            | 09792909-5189          |

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CONFIRMATION NO. 1554

## FORMALITIES LETTER



\*OC000000006961039\*

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

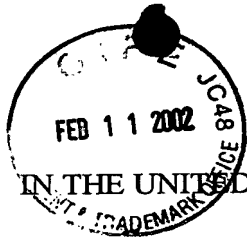
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/24/2001 09:00:00 00000000

10/24/2001 10:00:00 00000000



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BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shuzo Sato, et al. ATTY. DOCKET NO. 09792909-5189  
SERIAL NO. 09/963,966 GROUP ART UNIT: 1762  
DATE FILED: September 26, 2001 EXAMINER:  
INVENTION: "POLISHING METHOD AND POLISHING APPARATUS"

SUBMISSION OF MISSING PARTS OF APPLICATION

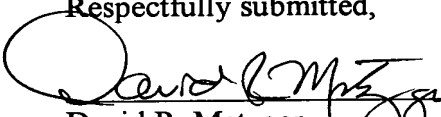
Assistant Commissioner of Patents  
Washington, D.C. 20231

S I R:

In response to the Notice to File Missing Parts dated October 23, 2001, the undersigned submits an executed Oath and Declaration as executed by the inventor(s) on January 7 and 16, 2002.

A check in the amount of \$130.00 to cover the required fee of this submission is enclosed. Applicants also request an extension of time to January 24, 2002, for filing this response. A check in the amount of \$110.00 to cover the one-month extension fee is enclosed. The Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

 (Reg. No. 32.919)  
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CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on January 22, 2002.

